

05-15-06

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT:	Marvin Ruffin	)	ATTORNEY DOCKET:	04263334
		)		
SERIAL NO.:	10/824277	)	GROUP ART UNIT:	2875
		)		
FILED:	April 14, 2004	)	EXAMINER:	Julie A. Shallenberger
		)		
TITLE:	MULTIPLE LED FOCUSED LIGHTING DEVICE			
DATE:	May 12, 2006		CUSTOMER NO.:	26565

**Certificate of Mailing by "Express Mail"**

"Express Mail" mailing label No. EV113371100US. Date of Deposit: May 12, 2006.  
 I hereby certify that this paper (and its recited enclosures) or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
 (signature of person mailing paper or fee)

Timothy M. Hubalik  
 (typed name of person mailing paper or fee)

Commissioner for Patents  
 PO BOX 1450  
 Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97(c)**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents listed on the attached PTO/SB/08B form as cited in International Search Report for corresponding PCT Application No. PCT/US05/13104 (copy enclosed). Applicant states that each item of information contained in the information disclosure statement was first cited in the above-referenced PCT application, on February 13, 2006, not more than three months prior to the filing of the information disclosure statement.

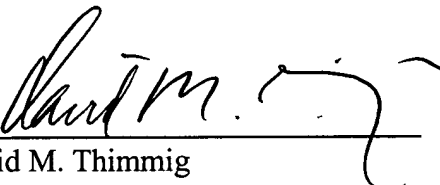
Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notation on the attached form. Pursuant to MPEP 609(A)(III)A(2) (page 600-128, Rev. 2, May 2004).

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each of all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

The Commissioner is hereby authorized to charge any additional filing fees required under Rule 1.17 concerning this transaction, or to credit any overpayment to Deposit Account 13-0019.

Respectfully submitted,

  
\_\_\_\_\_  
David M. Thimmig  
Reg. No. 36,034

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Enclosure:  
Form PTO-1449

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Application Number	10/824277
Filing Date	April 14, 2004
First Named Inventor	Ruffin
Art Unit	2875
Examiner Name	Julile A. Shallenberger
Attorney Docket Number	04263334

Sheet	1		1
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U.S. PATENT DOCUMENTS					
Examiner Initials*		Document Number	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		6,652,158	11/25/2003	Bartur et al.	
		6,170,973	01/09/2001	Benedict	
		4,943,128	07/24/1990	Takada et al.	
		4,521,835	06/04/1985	Meggs et al.	
		2,645,705	07/14/1953	Rutledge	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP 63078110	04/04/88	Alps Electric Co. Ltd.		
		WO 98/52078	11/19/98	Lumenyte International Corporation		

[illegible]

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.